

## DANIEL J. MORRISSEY

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Married, two children  
Member: Florida, Illinois and  
California Bars  
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### **EMPLOYMENT**

Present -	Professor of Law, Gonzaga University – Contracts, Corporate Law, Securities Regulation, Corporate Seminar
Summer, 2009	Visiting Professor, De Paul University, Corporate Law
Summer, 2007	Visiting Professor, New York Law School, Corporate Law
August 2004 –2005	Visiting Professor, Seattle University School of Law Subjects: Corporate Finance, Corporate Law, Securities Law and Securities Regulation
August 2001 – 2004	Dean and Professor of Law, Gonzaga University School of Law, Spokane, WA
August 1994-2001	Dean and Professor of Law, St. Thomas University School of Law, Miami, Florida. Dean, 1994-99, Professor 99-01
August 1982-1994	Professor, The University of Tulsa School of Law. Subjects: Securities, Corporations, and Jurisprudence. Contracts
August 1988-December 1988	Visiting Associate Professor, University of Denver School of Law. Subjects: Corporations
August 1986-May 1987	Visiting Associate Professor, Seton Hall University School of Law. Subjects: Securities, Corporation Finance, and Business Association.
1981- 1982	Associate: Freshman, Mulvaney & Marantz, Beverly

Hills, CA. (now KLA Gates—Los Angeles)

December 1975-June 1981      Staff Attorney: Securities and Exchange Commission, Division of Enforcement (Washington, D.C. and Los Angeles, CA).

August 1979-June 1980      Visiting Professor, Pepperdine University School of Law, Malibu, CA. Subjects: Civil Procedure and Securities.

July 1975-December 1975      Supervising Attorney: Prison Legal Aid Clinic, Southern Illinois University School of Law, Carbondale, IL.

September 1974-July 1975      Law Clerk: Hon. Richard B. Austin, U.S. District Judge, Chicago, IL.

## **EDUCATION**

### **Legal**

Georgetown University Law Center, Washington, D.C. – J.D. (1974).

Honors/Activities: Editor-in-Chief, Georgetown Law Weekly; recipient of award for Most Outstanding Law School Newspaper from the American Bar Association, Law School Division (1974)

### **College**

Georgetown University School of Foreign Service, Washington, D.C., A.B. (1971).

Major: International Affairs (History, Government, and Economics).

Honors: Phi Beta Kappa, cum laude.

## **Supreme Court Cases Argued**

*In re F5 Networks, Inc.*, 207 P.3d 433 (Wash. 2009)

*Risdall v. Brown-Wilbert*, 753 N.W. 2d 723 (Minn. 2008)

## **BOOK**

Securities Litigation (casebook) with Steinberg, Couture, and Kaufman (Carolina Press: 2016)

## **LAW REVIEW PUBLICATIONS**

“Guardians of the Galaxy: How Shareholder Lawyers Won Big for their Clients and Vindicated the Integrity of our Economy,” \_\_\_ Loyola of Los Angeles L. Rev. \_\_\_\_ (2018) (forthcoming)

“Taming Rule 10b-5-1: The Unfinished Business of *Texas Gulf Sulphur*,” \_\_\_ S.M.U. L. Rev. \_\_\_\_ (2018) (forthcoming)

“How to Stop Mutual Funds from Robbing Retirement Savings,” 14 NYU Journal of Law & Bus. 143 (2018).

“Income Equality in Utopia,” 48 U. Pac. L. Rev. 79 (2016)

“Managing the Wealth of Nations: What China and America may have to Teach each other about Corporate Governance,” 68 S.M.U. L. Rev. 831 (2015)

“The Riddle of Shareholder Rights and Corporate Social Responsibility,” 80 Brooklyn L. Rev. 353 (2015)

“Will *Allegran* Botox the Wrinkles out of Securities Litigation” 41 J. of Sec. Reg 287 (2013)

“M&A Fiduciary Duties: Delaware’s Murky Jurisprudence,” 58 Vill. Law Rev. 121 (2013)

“Executive Compensation and Income Inequality,” 4 Wm. & Mary Bus. L. Rev. 1 (2013)

“Will Arbitration End Securities Litigation,” 40 Sec. Reg. L. J. 159 (2012)

“Shareholder Litigation after the Meltdown,” 114 W. Va. L. Rev. 531 (2012)

“After the Meltdown,” 45 Tulsa L. Rev. 393 (2010)

“The Road Not Taken: Rethinking Securities Regulation and the Case for Merit Review,” 44 U. Rich. L. Rev. 647 (2010)

“The Securities Act at its Diamond Anniversary: Renewing the Case for a Robust Registration Requirement” 11 U. Penn. Bus. Law J. 749 (2009)

“The Path of Corporate Law in the 21<sup>st</sup> Century,” 86 Or. L. Rev. 975 (2008)

“Piercing All the Veils: Apply an Established Doctrine To a New Business Order” 32 J. of Corp. L. 529 (2007)

“Saving Legal Education,” 56 J. of Leg. Ed. 254 (2006)

“Another Look at the Law of Dividends” 54 Kan. L. Rev. 449 (2006)

"After the Ball is Over: Investor Remedies in the Wake of the Dot.com crash and Recent Corporate Scandals," 83 Neb. L. Rev. 732 (2005)

"Bringing the Messiah Through Law: Legal Education at the Jesuit Schools," 48 St. L. U. L. J. 549 (2004),

"Harry Truman and the Joy of Deaning," 35 U. of Toledo L. Rev. 153 (2003)

"SEC Injunctions," 68 Tenn. L. Rev. 427 (2001)

“A Natural Lawyer Takes a Sympathetic Look at Post-Modernism,” 14 J. of Law & Rel. 601 (1999-2000).

"The Separation of Church and State: An American-Catholic Perspective," 47 Cath. U. Rev. 1 (1997)

“The Catholic Moment in Legal Education,” 78 Marq. L. Rev. 413 (1995)

“Pragmatism and the Politics of Meaning,” 43 Drake L. Rev. 615 (1994)

“Moral Truth and the Law: A New Look At An Old

Link,” 47 S.M.U.L. Rev. 61 (1993)

“Think Globally, Act Locally: It’s Time To Reform the Intrastate Exemption,” 20 Sec. Reg. L.J. 59 (1992)

“Protecting the Public Interest in Leveraged Buyouts,” 69 Or.L. Rev. 47 (1990)

“Toward a New/Old Theory of Corporate Social Responsibility,” 40 Syr.L. Rev. 1005 (1990)

“A Long Overdue Rendezvous for American Legal Education,” 33 Cath. Law. 227 (1990)

“Law, Ethics, and the Levered Buyout,” 65 U.Det. L. Rev. 403 (1988)

“Defensive Tactics in Tender Offers-Does Anything Go?” 53 Tenn.L. Rev. 103 (1985)

“New Rulings Threaten the Derivative Suit – Will the ‘Needed Policeman’ Keep Walking the Beat?” 36 S.C.L. Rev. 631 (1985)

“Integration of Securities Offerings – The ABA’s ‘Indiscreet’ Proposal,” 26 Ariz. L. Rev. 41 (1984)

## **MAGAZINE AND BAR JOURNALS**

“Why the President Should Keep the Labor Department’s Fiduciary Rule,” National Law Journal, Jan. 30, 2017

“A New Corporate Model,” National Law Journal, Jan. 7, 2013

“A Fatal Threat to Shareholder Litigation,” National Law Journal, Mar. 12, 2012

“It’s Time for the Courts to Curb Executive Pay,” National Law Journal, Aug. 15, 2011

“Wall Street Needs this Beast,” National Law Journal, Feb. 28, 2011

“Dodd-Frank Reins in Wall Street,” National Law Journal, August 30, 2010

“Strengthen Investor Rights,” National Law Journal, Sept. 14, 2009

“Time to Tighten Regulation,” National Law Journal, Oct. 6, 2008

“American Catholics in Our Precarious New Gilded Age,” America, Jan. 7-14, 2008.

“Private Placements: Protect Senior Investors” National Law Journal, Dec. 10, 2007.

“Remedies for Options Backdating,” National Law Journal, Oct. 16, 2006.

“The Catholic Moment in Legal Education,” America, October 29, 1994

“Reflections of a First Year Law Professor,” Syllabus, Dec. 1983. (From an address delivered to the annual meeting of the Central States Law School Association, April, 1983)

“In Memoriam, Adrian S. Fisher,” Res Ipsa Loquitur, Spring, 1983

“New Federal and State Securities Exemptions,” California Business Law News, Fall, 1981

Numerous Articles on Legal Issues in the Tulsa World, Tulsa Tribune, and Oklahoma Eagle

## **BOOK REVIEWS**

Geoffrey Stone, Sex and the Constitution, (America, 2017) (forthcoming)

Helen Chaitman and Lance Gotthoffer, JP Madoff: The Unholy Alliance between American’s Biggest Bank and America’s Biggest Crook 44 Sec. Reg. L. J. 193 (2016)

Harper Lee, Go Set a Watchman, (NW Lawyer, Mar. 2016)

John Paul Stevens, Six Amendments: How and Why We Should Change the Constitution (America, Nov. 17, 2014)

Richard Posner’s Overcoming Law (Commonweal, July 14, 1995)

Diary of a Yuppie (Commonweal, Feb. 27 1987)

Funny Money (Commonweal, Feb. 14, 1986)

Persons and Masks of the Law and Lawyering (Commonweal, Oct. 8, 1976)

Go East, Young Man: The Autobiography of William O. Douglas,

(Commonweal, Sept. 27, 1974)

The Bench and the Ballot: Southern Federal Judges and Voting Rights Laws,  
(Commonweal, Apr. 26, 1974)

## **PROFESSIONAL PRESENTATIONS**

### **Congressional Testimony**

Appearance before the House Subcommittee on Telecommunications and Finance, U.S. Congress, Feb. 22, 1989, testified on leveraged buyouts.

### **Other Presentations**

Presentation to the Annual Northwest Securities Conference on New SEC Exemptions to Registration, May 17, 2017

Presentations in October, 2015 and October, 2014 at the annual Investor Protection Conference at Loyola Law School in Chicago speaking about shareholder derivative lawsuits, state securities laws, and trends in securities arbitration.

Presentations on Excessive Executive Compensation to Law School Faculties and Classes during Spring, 2012 Sabbatical

CLE on Fiduciary Duties in Mergers and Acquisitions, Seattle, June 22, 2012

CLE on Securities Litigation, Seattle, DLA Piper, Dec. 1, 2011

CLE on Securities Law Enforcement, Washington State Bar, Seattle, Sept. 15, 2011

Address before the Northwest Securities Conference, Mar. 3, 2010 – Securities Regulation After the Meltdown

Address before the Washington State Business School Forum, Nov. 12, 2010, Monetary Policy After the Meltdown

Address on Catholic Thought in Legal Education at Loyola University Law School, Chicago, January, 1995

Address to Central States Law School Annual Meeting: “Ethics in Legal Education”  
April, 1989

Numerous presentations to Legal and Civic groups in Spokane, Miami, Tulsa, 1982-2004.

## **CIVIC AND UNIVERSITY SERVICE HIGHLIGHTS**

AALS representative, Site Visit for St. Louis U. Law School Sabbatical Inspection, Apr. 2012

Association of American Law Schools, Committee on Libraries and Technology, 2002-05.

Washington State Bar Committee on Professional Development, 2002-04

Florida Supreme Court Commission on Professionalism, 1997-2000

Miami-Dade Legal Services, Board Member, 1998-2001

Vice-President, Faculty Senate, University of Tulsa, 1993-94